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Dated: \_\_\_\_\_

Docket No.: 06920/000K272-US0  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Katsuhiko Hirabayashi et al.

Application No.: 10/072,031

Art Unit: 2882

Filed: February 8, 2002

Examiner: K. Suchecki

For: WAVEGUIDE-TYPE OPTICAL DEVICE AND  
MANUFACTURING METHOD THEREFOR

**AMENDMENT AFTER FINAL ACTION (37 C.F.R. SECTION 1.116)**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated November 13, 2003 (Paper No. 1003), and subject to the approval of the Examiner, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 18 of this paper.

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# AMENDMENT TRANSMITTAL LETTER

Docket No.  
06920/000K272-US0

Application No.  
10/072,031

Filing Date  
February 8, 2002

Examiner  
K. Suchecki

Art Unit  
2882

Applicant(s): Katsuhiko Hirabayashi et al.

Invention: WAVEGUIDE-TYPE OPTICAL DEVICE AND MANUFACTURING METHOD THEREFOR

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	33	- 33 =		x	0.00
Independent Claims	11	- 4 =	7	x 86.00	602.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					602.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

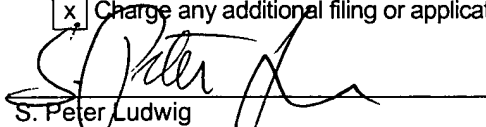
☒ A check in the amount of \$ 602.00 to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-0100  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
S. Peter Ludwig  
Attorney Reg. No.: 25,351

Dated: February 13, 2004

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